

ISO ClaimSearch



Medicare Secondary Payer Reporting Service

ICD-10 Adoption Preparation & Requirements

November 2013



AIR WORLDWIDE

XACTWARE

The Centers for Medicare & Medicaid Services (CMS) released an Alert on June 11, 2013 regarding the plan to integrate ICD-10 into Section 111 reporting, while phasing out the use of ICD-9 codes. The Alert is summarized below:

- **ICD-10 data will be required in phases** – CMS announced that ICD-10 codes* will only be required for injuries occurring *on or after April 1, 2015*; this means that ICD-10 data will not be required for claims that are reported for nearly two years.
- **ICD-9 Codes will be Accepted Indefinitely** – For injuries occurring prior to April 1, 2015, CMS will continue to accept ICD-9 codes on add and update records.
- **No simultaneous reporting** – CMS’ record layout will not support simultaneous reporting of ICD-9 and ICD-10 codes on the same reported claim. As such, for claims with dates of injury occurring prior to April 1, 2015 RREs will need to report with either ICD-9 or ICD-10 codes but not both.
- **CMS will not accept ICD-10 codes until October 1, 2014** – CMS will not accept ICD-10 on production claim input files before October 4, 2014. Until that date, RREs are *only* to utilize ICD-9 codes.

* Text and Excel files containing the list of valid ICD-10 diagnosis codes are available on the CMS website at: <http://www.cms.gov/Medicare/Coding/ICD10/2013-ICD-10-CM-and-GEMs.html>.

How will ISO ClaimSearch® assist you in handling this transition?

I. Testing

ISO ClaimSearch plans to allow system-to-system customers to begin submitting ICD-10 diagnosis and/or cause of injury codes in our acceptance test environment beginning 11/18/13 in order to allow you to test any necessary programmatic system changes. Please note: in order to allow you to get an early start on testing your reporting of ICD-10 codes and the associated warnings, we have adjusted the effective date in the acceptance test environment to 10/1/13. This will provide you with an entire year to perform your testing before the production date for ICD-10 codes become active a year later on 10/1/2014.

Round-trip testing to CMS will not be necessary for each RRE since ISO will fully test the changes with CMS on behalf of all RREs. If you are a system-to-system customer, when ready to test the changes, please contact your designated ISO ClaimSearch testing representative. If you are not sure who your ISO ClaimSearch representative is, please send an e-mail to claimsearchdatabasedevelopment@iso.com.

Dates Applied	Code(s) Submitted	Warnings Applied
Current Date > Oct 1, 2013 < Jan 1, 2015	ICD9 Only	Invalid ICD9
	ICD10 Only	Invalid ICD10
	Both Codes	Invalid ICD10
	Neither Code	Missing ICD9 Missing ICD10

II. Production

ICD-10 Diagnosis Code Reporting

- Beginning January 1, 2014, you may begin to populate ICD-10 codes in the production environment. **No ICD-10 codes will be stored in the database and no ICD-10 warnings will be produced in production prior to 1/1/14.**

< Jan 1, 2014	Current Logic In Effect
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- Prior to October 1, 2014, ISO will only send ICD-9 codes to CMS. If you submit an ICD-10 code on your claims, ISO will not send the ICD-10 code to CMS. You will continue to receive Active Warnings for Missing or Invalid ICD-9 codes and will begin receiving invalid ICD-10 code warnings.

Dates Applied	Code(s) Submitted	Warnings Applied
Current Date >Jan 1, 2014 < Oct 1, 2014	ICD9 Only	Invalid ICD9
	ICD10 Only	Invalid ICD10 Missing ICD9
	Both Codes	Invalid ICD9 Invalid ICD10
	Neither Code	Missing ICD9

- During the transition period (starting October 1, 2014 for claims with a CMS Date of Incident prior to April 1, 2015), CMS will accept either ICD-9 or ICD-10 codes. If you submit both an ICD-9 and an ICD-10 code, ISO will only send the ICD-10 to CMS. During this period, warnings will be produced for missing and invalid ICD-9 and ICD-10 codes.

Dates Applied	Code(s) Submitted	Warnings Applied
Current Date > Oct 1, 2014 < Jan 1, 2015	ICD9 Only	Invalid ICD9
	ICD10 Only	Invalid ICD10
	Both Codes	Invalid ICD10
	Neither Code	Missing ICD9 Missing ICD10
Current Date > Jan 1, 2015 Date of Incident < April 1, 2015	ICD9 Only	Invalid ICD9 Missing ICD10
	ICD10 Only	Invalid ICD10
	Both Codes	Invalid ICD10
	Neither Code	Missing ICD9 Missing ICD10

- For claims with a CMS Date of Incident on or after April 1, 2015, CMS will only accept ICD-10 codes. Active Warnings will be produced for Missing or Invalid ICD-10 codes. If you submit an ICD-9 code on your claims, ISO will not send the ICD-9 code to CMS.

Dates Applied	Code(s) Submitted	Warnings Applied
Current Date > Jan 1, 2015 Date of Incident > April 1, 2015	ICD9 Only	Missing ICD10
	ICD10 Only	Invalid ICD10
	Both Codes	Invalid ICD10
	Neither Code	Missing ICD10

ICD-10 Alleged Cause of Injury Reporting

The Alleged Cause of Injury code is no longer a CMS required field, however if submitted, it must be valid. RREs may choose whether they want to continue to report this optional field to ISO ClaimSearch. If a valid code is reported to ISO ClaimSearch, ISO will send the code to CMS. If an invalid code is submitted to ISO ClaimSearch, ISO will not forward the code to CMS in order to prevent the entire claim from rejecting. No warning messages are produced for missing or invalid Cause of Injury codes.

Alleged Cause of Injury (E) codes can only be used when reporting ICD-9 diagnostic codes. Alleged Cause of Injury (V, W, X, Y) codes can only be used when reporting ICD-10 diagnostic codes.

- Prior to October 1, 2014, ISO will only send valid Alleged Cause of Injury (E) codes to CMS. If you submit an Alleged Cause of Injury (V, W, X, Y) code, we will not send the code to CMS.
- During the transition period (starting October 1, 2014 for claims with a CMS Date of Incident prior to April 1, 2015), you can report either Alleged Cause of Injury code. If you are reporting ICD-9 codes, only a valid Alleged Cause of Injury (E-Code) will be sent to CMS. If you are reporting ICD-10 codes, only a valid Alleged Cause of Injury (V, W, X, Y) code will be sent to CMS. If you submit both an ICD-9 and an ICD-10 diagnosis code, ISO will send the ICD-10 to CMS along with a valid Alleged Cause of Injury (V, W, X, Y) code, if reported. If you submit the Alleged Cause of Injury code without a required diagnosis code, ISO will send the (V, W, X, Y) code if valid; if not valid, we will send the (E) code if valid.
- For claims with a CMS Date of Incident on or after April 1, 2015, ISO will only send valid Alleged Cause of Injury (V, W, X, Y) codes.

III. SYSTEM-TO-SYSTEM CHANGES

For customers who report system-to-system using the Universal Format layout, below are the fields to be used for reporting ICD-10 Diagnosis and Alleged Cause of Injury codes. Please use this information to supplement the Universal Format Manual version 7.4 until a new version is released.

Universal Format Input

UEX3 Record

Position	Field Name	Required	Length	Type	Description
298-305	ICD-10 Code 1	N	8	A/N	<i>CMS Field #20</i>
306-313	ICD-10 Code 2	N	8	A/N	<i>CMS Field #22</i>
314-321	ICD-10 Code 3	N	8	A/N	<i>CMS Field #24</i>
322-329	ICD-10 Code 4	N	8	A/N	<i>CMS Field #26</i>
330-337	ICD-10 Code 5	N	8	A/N	<i>CMS Field #28</i>
338-345	ICD-10 Code 6	N	8	A/N	<i>CMS Field #30</i>
346-353	ICD-10 Code 7	N	8	A/N	<i>CMS Field #32</i>
354-361	ICD-10 Code 8	N	8	A/N	<i>CMS Field #34</i>
362-369	ICD-10 Code 9	N	8	A/N	<i>CMS Field #36</i>
370-377	ICD-10 Code 10	N	8	A/N	<i>CMS Field #38</i>
378-385	ICD-10 Code 11	N	8	A/N	<i>CMS Field #40</i>
386-393	ICD-10 Code 12	N	8	A/N	<i>CMS Field #42</i>
394-401	ICD-10 Code 13	N	8	A/N	<i>CMS Field #44</i>
402-409	ICD-10 Code 14	N	8	A/N	<i>CMS Field #46</i>
410-417	ICD-10 Code 15	N	8	A/N	<i>CMS Field #48</i>
418-425	ICD-10 Code 16	N	8	A/N	<i>CMS Field #50</i>
426-433	ICD-10 Code 17	N	8	A/N	<i>CMS Field #52</i>
434-441	ICD-10 Code 18	N	8	A/N	<i>CMS Field #54</i>
442-449	ICD-10 Code 19	N	8	A/N	<i>CMS Field #56</i>

UEX4 Record

Position	Field Name	Required	Length	Type	Description
332-338	Alleged Cause of Injury- 10	N	7	A/N	CMS Field #15

Universal Format Output

The ICD-10 diagnosis codes will be returned on the MEX3 echo record in positions 298-449 (see the Universal Format manual version 7.4 for the layout). The Alleged Cause of Injury – 10 will be returned on the MEX4 echo record in positions 332-338.

For customers utilizing web or PDF output, the new codes will automatically appear on the match report.

XML

For customers who report using the XML layout, below are the fields to be used for reporting ICD-10 diagnosis and Alleged Cause of Injury codes. *NOTE: The cause of injury code for XML reporting is still in the development stage; we will send an announcement once that field is ready to be reported in the test environment.*

Diagnosis Code:

XML Tag Name <com.iso_ICD10Cd>

XML Location ClaimInvestigationAddRq/ com.iso_AddCovInfo/ com.iso_CovInfo1/
com.iso_ICD10Cd (Repeating 19 times)

Alleged Cause of Injury Code:

XML Tag Name <com.iso_ICD10CauseOfInjuryCd>

XML Location com.iso_CovInfo2/ com.iso_ICD10CauseOfInjuryCd

For customers utilizing the XML style sheets, the new codes will automatically appear on the style sheets. *NOTE: A new version of the style sheet is currently being developed and will be released to all XML reporting companies in the near future.*

IV. WEBSITE CHANGES

ISO ClaimSearch has added the new ICD-10 diagnosis and alleged cause of injury codes to the claims reporting screens in the test environment. In the first quarter of 2014, ISO ClaimSearch will announce the date that you may begin to populate the ICD-10 codes in the production environment.

The screen below represents the screen where you may enter ICD-9 or ICD-10 codes by using the expansion box to open up the appropriate section to enter the codes.

General Casualty Information (Additional)

Claim Number: ICDTEST Policy Number: ICDTEST Date Of Loss: 10/01/2013

Involved Party: John Smith [<< Previous](#) [Next >>](#)

Coverage: Pip

* Alleged Injuries: ICD TEST

+ ICD9 Details

+ ICD10 Details

The screen below represents the expanded view to enter the ICD-10 Diagnosis and Alleged Cause of Injury codes.

General Casualty Information (Additional)

Claim Number: ICDTEST Policy Number: ICDTEST Date Of Loss: 10/01/2013

Involved Party: John Smith [<< Previous](#) [Next >>](#)

Coverage: Pip

* Alleged Injuries: ICD TEST

+ ICD9 Details

▣ ICD10 Details

* ICD10 Codes:

1.	<input type="text" value="Y93A5"/>	2.	<input type="text"/>	3.	<input type="text"/>	4.	<input type="text"/>	5.	<input type="text"/>
6.	<input type="text"/>	7.	<input type="text"/>	8.	<input type="text"/>	9.	<input type="text"/>	10.	<input type="text"/>
11.	<input type="text"/>	12.	<input type="text"/>	13.	<input type="text"/>	14.	<input type="text"/>	15.	<input type="text"/>
16.	<input type="text"/>	17.	<input type="text"/>	18.	<input type="text"/>	19.	<input type="text"/>	CMS Code Lookup	

* Cause Of Injury10: [CMS Code Lookup](#)

In addition, the valid ICD-10 codes have been added to the look-up feature on the ISO ClaimSearch website. CMS has still not published the new alleged cause of injury codes; when they do, we will update the look-up feature to include valid codes.

V. ACTIVE WARNINGS

New warning messages have been created for missing or invalid ICD-10 diagnosis codes. For customers with system-to-system interfaces, please note that the warning codes have re-used numbers that were previously assigned to obsolete warnings, so if you still have old logic in your system, you may need to replace the description of these warning numbers with the new descriptions below.

Warning code	Warning Description
22	* ICD-10 Diagnosis Code Missing
23	* ICD-10 Diagnosis Code 1 is Invalid
24	* ICD-10 Diagnosis Code 2 is Invalid
25	* ICD-10 Diagnosis Code 3 is Invalid
26	* ICD-10 Diagnosis Code 4 is Invalid
27	* ICD-10 Diagnosis Code 5 is Invalid
28	* ICD-10 Diagnosis Code 6 is Invalid
29	* ICD-10 Diagnosis Code 7 is Invalid
30	* ICD-10 Diagnosis Code 8 is Invalid
31	* ICD-10 Diagnosis Code 9 is Invalid
32	* ICD-10 Diagnosis Code 10 is Invalid
33	* ICD-10 Diagnosis Code 11 is Invalid
34	* ICD-10 Diagnosis Code 12 is Invalid
35	* ICD-10 Diagnosis Code 13 is Invalid
36	* ICD-10 Diagnosis Code 14 is Invalid
37	* ICD-10 Diagnosis Code 15 is Invalid
38	* ICD-10 Diagnosis Code 16 is Invalid
39	* ICD-10 Diagnosis Code 17 is Invalid
40	* ICD-10 Diagnosis Code 18 is Invalid
41	* ICD-10 Diagnosis Code 19 is Invalid

COMING SOON – ACTIVE WARNING DASHBOARD

To provide you with a more flexible process to view and manage your RRE's active warnings, ISO ClaimSearch is in the final development stages of creating a dashboard to display the active warnings. The dashboard will be available to all users who currently have access to the Medicare Section 111 reports on the Account Management page of the ISO ClaimSearch website beginning November 11, 2013. Look for an announcement in the near future regarding this new tool.

WHO TO CONTACT? If you have any questions on the transition to ICD-10 reporting, please direct your questions to claimsearchmsp@iso.com.



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